

# Rigidity results for Lichnerowicz Bakry-Emery Ricci tensors

PH.D. THESIS

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Our setting  $\rightarrow$  Riemannian manifolds with density (weighted manifolds).

Let  $(M, \langle \cdot, \cdot \rangle)$  be a complete  $m$ -dimensional Riemannian manifold. Fix an origin  $o \in M$  and denote by

- $r(x)$  the distance function from  $o$ .
- $B_r$  the geodesic ball of radius  $r$  centered at  $o$ .
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Given  $f \in C^\infty(M)$  we can consider the **weighted manifold**  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$ . We set

$$\text{vol}_f(B_r(p)) = \int_{B_r(p)} e^{-f} d\text{vol}, \quad \text{vol}_f(\partial B_r(p)) = \int_{\partial B_r(p)} e^{-f} d\text{vol}_{m-1}.$$

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We call  **$f$ -laplacian**,  $\Delta_f$ , the diffusion operator defined on  $u$  by

$$\Delta_f u = e^f \text{div}(e^{-f} \nabla u) = \Delta u - \langle \nabla f, \nabla u \rangle$$

which is clearly symmetric on  $L^2(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$ .

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A way to expand this principle to the setting of weighted manifolds is to consider the **Lichnerowicz Bakry–Emery Ricci tensor**

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while, if  $f$  is a constant function,

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Note that if  $k_1 \geq k_2$  then  $\text{Ric}_f^{k_1} \geq \text{Ric}_f^{k_2}$  so that ,e.g.,

$$\text{Ric}_f^k \geq \lambda \langle , \rangle \Rightarrow \text{Ric}_f \geq \lambda \langle , \rangle.$$

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$$\frac{1}{2} \Delta_f |\nabla u|^2 \geq \frac{(\Delta_f u)^2}{m+k} + \langle \nabla u, \nabla \Delta_f u \rangle + \text{Ric}_f^k(\nabla u, \nabla u).$$

In other words a Bochner formula holds for  $\text{Ric}_f^k$ ,  $k < \infty$  that looks like the Bochner formula for Ric of an  $m+k$  dimensional manifold.

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- Myers' type theorems, diameter estimates (see [Qian, '96], [R., '10]);
- $f$ -laplacian and weighted volume comparisons, (see [Setti '92], [Qian, '96], [Wei-Wylie, '09], [Mari-Rigoli-Setti, '10]).

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For example observe that

- If  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  is complete and  $\text{Ric}_f^k \geq (m+k-1)c^2 > 0$ ,  $k < \infty$ . Then  $M$  is compact and  $\text{diam} \leq \frac{\pi}{c}$ .
- If  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  is complete and  $\text{Ric}_f^k \geq -(m+k-1)B^2$ ,  $k < \infty$ , for some constant  $B > 0$ . Then for every  $r > 0$  there exists a constant such that

$$\text{vol}_f(B_r(o)) \leq CB^{-(m+k-1)} \int_0^r (\sinh(Bt))^{(m+k-1)} dt.$$



The case  $k = \infty$  is more critical and the difficulties that arise are absolutely non-technical.

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**Example.** The Gaussian space  $(\mathbb{R}^m, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  with  $f(x) = \frac{1}{2}A^2|x|^2$  for an arbitrary  $A \in \mathbb{R}$ , satisfies  $\text{Ric}_f = A^2 > 0$  but it is non-compact.

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$$\text{vol}_f(B_r(0)) = C_{m-1} \int_0^r e^{\frac{A^2}{2}t^2} t^{m-1} dt \asymp e^{\frac{A^2}{2}t^2} t^{m-2}.$$

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Nevertheless there are again mutual relations between  $\text{Ric}_f$  bounds and  $\text{vol}_f$ -growth properties.

Let  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  be a complete weighted manifold, then

$$\text{Ric}_f \geq \lambda \in \mathbb{R} \xrightarrow{[\text{Qian, '96}]} \text{vol}_f(B_r(o)) \leq A + B \int_{R_0}^r e^{-\lambda t^2 + Ct} dt$$

This can be extended to weighted volume estimates in case the radial lower bound is given by  $D(1+r)^{-\mu}$ , for some constants  $D, \mu$ .

For example if  $\text{Ric}_f \geq D(1+r)^{-\mu}$ ,  $D > 0$ ,  $0 \leq \mu \leq 1$  then there exist constants  $C_j > 0$  s.t. for every  $r > 2$

$$\text{vol}_f(\partial B_r(o)) \leq \begin{cases} C_1 e^{-C_2 r \log(1+r)} & \text{if } \mu = 1 \\ C_1 e^{-C_2 r^{2-\mu}} & \text{if } 0 \leq \mu < 1 \end{cases} \quad \text{and} \quad \text{vol}_f(B_r(o)) \leq C_3$$

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In order to deal with the more general case

$$\text{Ric}_f \geq -(m-1)G(r)$$

for a smooth positive function  $G$  on  $\mathbb{R}_0^+$ , even at the origin we have to impose some further condition on  $f$  or on its derivatives.

In particular let  $g$  be a solution on  $\mathbb{R}_0^+$  of

$$\begin{cases} g'' - Gg \geq 0 \\ g(0) = 0, \quad g'(0) \geq 1. \end{cases}$$

Then in [Pigola, Rigoli, R., Setti, '10] we proved that there exists constants  $B, C, D > 0$  s.t.

$$\begin{aligned} \bullet \begin{cases} \langle \nabla r, \nabla f \rangle \geq -\theta(r) \\ \theta \in C^0(\mathbb{R}_0^+), \theta' \geq 0 \end{cases} & \Rightarrow \text{vol}_f(B_r(o)) \leq D \int_0^r g(t)^{m-1} e^{\int_0^t \theta(s) ds} dt. \\ \bullet \begin{cases} \xi(r) \leq f \leq \omega(r) \\ \omega, \xi \in C^1(\mathbb{R}_0^+), \omega' \geq 0 \\ \xi'(r) \leq \omega'(r) \end{cases} & \Rightarrow \text{vol}_f(B_r(o)) \leq C + B \int_0^r g(t)^{(m-1)+2((\omega-\xi)(t))} dt \end{aligned}$$

**General idea:** Analytic theorems for the Laplace–Beltrami operator on  $M$ , which are proved under metric–measure assumptions, using for example the divergence theorem, comparison arguments or heat semigroup methods, can be transliterated into theorems, under weighted metric–measure assumptions, for  $\Delta_f$  on  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$ .



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- (Maximum principles) Let  $\Omega \subseteq (M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  be a connected domain. Then the following hold:
  - (1) If  $\Delta_f u \geq 0$  in  $\Omega$  and  $u(x_0) = \sup_{\Omega} u$  then  $u \equiv u(x_0)$  in  $\Omega$ .
  - (2) If  $\Delta_f u \leq cu$  in  $\Omega$ , for a generic constant  $c \in \mathbb{R}$ ,  $u \geq 0$  in  $\Omega$  and  $u(x_0) = 0$  then  $u \equiv 0$  in  $\Omega$ .

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Given  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  we say that the **full Omori–Yau maximum principle for  $\Delta_f$**  holds if given a  $C^2$  function  $u : M \rightarrow \mathbb{R}$  satisfying  $\sup_M u = u^* < +\infty$ , there exists a sequence  $\{x_n\} \subset M$  along which

$$(i) \ u(x_n) \geq u^* - \frac{1}{n}, \quad (ii) \ |\nabla u(x_n)| \leq \frac{1}{n}, \quad \text{and} \quad (iii) \ \Delta_f u(x_n) \leq \frac{1}{n}.$$

On the other hand we say that the **weak Omori–Yau maximum principle for  $\Delta_f$**  holds if for any  $u$  as above there exists a sequence  $\{x_n\} \subset M$  along which (i) and (iii) hold.

Let  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  be a geodesically complete weighted manifold. From various results developed, among the others, by Pigola–Rigoli–Setti and Grigor'yan we have the following results.

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- Suppose the validity of the following weighted volume growth condition

$$\frac{r}{\log \text{vol}_f(B_r)} \notin L^1(+\infty).$$

↓

- 1 The weak Omori–Yau maximum principle for  $\Delta_f$  holds on  $M$ .
- 2 (a-priori estimates) Let  $u \geq 0$  be a weak solution of

$$\Delta_f u \geq au + bu^\sigma$$

with constants  $a \geq 0$ ,  $b > 0$ ,  $\sigma > 1$ . Then

$$u \leq \left(\frac{a}{b}\right)^{\frac{1}{\sigma-1}}.$$

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- Suppose the validity of the following weighted area growth condition

$$\text{vol}_f(\partial B_r)^{-1} \notin L^1(+\infty).$$

↓

- 1 The weighted manifold is  $f$ -parabolic, i.e.,  $\begin{cases} \Delta_f u \geq 0 \\ u^* = \sup_M u < +\infty \end{cases} \Rightarrow u \equiv \text{const.}$

Combining these results with the comparison geometry we have discussed above we obtain that

- The weak Omori–Yau maximum principle for  $\Delta_f$  and the a–priori estimate hold on a weighted manifold  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  provided one of the following curvature assumptions is satisfied for some  $\lambda \in \mathbb{R}$ 
  - (a)  $\text{Ric}_f^k \geq \lambda$ ,  $k < \infty$ ;
  - (b)  $\text{Ric}_f \geq \lambda$ .
- A weighted manifold  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  is  $f$ –parabolic provided one of the following curvature assumptions is satisfied
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  - (b)  $\text{Ric}_f \geq D(1+r)^{-\mu}$  with  $D > 0$  and  $0 \leq \mu \leq 1$ .

- ( $L^p$ -Liouville,  $1 < p < +\infty$ )  $\begin{cases} \Delta_f u \geq 0 \\ 0 \leq u \in L^p(M, e^{-f} d\text{vol}) \end{cases} \Rightarrow u \equiv \text{const.}$

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- ( $L^1$ -Liouville)  $\begin{cases} \Delta_f u \geq 0 \\ 0 \leq u \in L^1(M, e^{-f} d\text{vol}) \\ u(x) = O\left(e^{\alpha r(x)^2 - \epsilon}\right) \quad r(x) \rightarrow +\infty \end{cases} \Rightarrow u \equiv \text{const.}$



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In general under weighted volume growth conditions, nothing can be said about the validity of the full-Omori Yau maximum principle for  $\Delta_f$ . Nevertheless from a result in [Pigola-Rigoli-R.-Setti, '10] we obtain function-theoretic sufficient conditions for its validity. Let  $G$  be a smooth function on  $[0, +\infty)$ , even at the origin, satisfying

$$\begin{aligned} (i) \quad & G(0) > 0 & (ii) \quad & G'(t) \geq 0 \text{ on } [0, +\infty) \\ (iii) \quad & G(t)^{-\frac{1}{2}} \notin L^1(+\infty) & (iv) \quad & \limsup_{t \rightarrow +\infty} \frac{tG\left(t^{\frac{1}{2}}\right)}{G(t)} < +\infty. \end{aligned}$$

- The full Omori-Yau maximum principle for  $\Delta_f$  holds on a complete weighted manifold  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  satisfying one of the following hypotheses
  - (a)  $\text{Ric}_f^k \geq -(m+k-1)G(r)$ ;
  - (b)  $\text{Ric}_f \geq -(m-1)G(r)$  and  $|\nabla f| \leq CG(r)^{1/2}$ .



Given  $(M, \langle \cdot, \cdot \rangle)$  a Riemannian manifold, a **gradient Ricci soliton structure** on  $M$  is the choice of a smooth function  $f$  (if any) satisfying the soliton equation

$$\text{Ric}_f = \lambda \langle \cdot, \cdot \rangle.$$

for some constant  $\lambda \in \mathbb{R}$ . The gradient Ricci soliton  $(M, \langle \cdot, \cdot \rangle, \nabla f)$  is said to be shrinking, steady or expanding according to whether  $\lambda > 0$ ,  $\lambda = 0$  or  $\lambda < 0$ .

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On the other hand, following the terminology in [Case–Shu–Wei, '08] we say that the Riemannian manifold  $(M, \langle \cdot, \cdot \rangle)$  supports a  **$k$ -quasi-Einstein structure**,  $k \in \mathbb{N}$ , if there is some smooth function  $f : M \rightarrow \mathbb{R}$  such that

$$\text{Ric}_f^k = \lambda \langle \cdot, \cdot \rangle, \quad k < \infty.$$

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**Example 1.** The standard Euclidean space  $(\mathbb{R}^m, \langle \cdot, \cdot \rangle, \nabla f)$  with

$$f(x) = \frac{1}{2}A|x|^2 + \langle x, B \rangle + C,$$

for arbitrary  $A \in \mathbb{R}$ ,  $B \in \mathbb{R}^m$  and  $C \in \mathbb{R}$ . Note that  $f$  is the essentially unique solution of the equation  $\text{Hess}(f) = A\langle \cdot, \cdot \rangle$  on  $\mathbb{R}^m$ . Infact by a “domain rigidity” result by Y. Tashiro also a kind of converse holds. See also [Pigola-R., '09].

The importance of gradient Ricci solitons is due to Perelman's solution of Poincaré conjecture. They correspond to “self-similar” solutions to Hamilton's Ricci flow and often arise as limits of dilations of singularities developed along the Ricci flow.

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**Example 2.** The Riemannian product

$$\left( \mathbb{R}^m \times N^k, \langle \cdot, \cdot \rangle_{\mathbb{R}^m} + \langle \cdot, \cdot \rangle_{N^k}, \nabla f \right)$$

where  $(N^k, \langle \cdot, \cdot \rangle_{N^k})$  is any  $k$ -dimensional Einstein manifold with Ricci curvature  $\lambda \neq 0$ , and  $f(t, x) : \mathbb{R}^m \times N^k \rightarrow \mathbb{R}$  is defined by

$$(1) \quad f(x, p) = \frac{\lambda}{2}|x|_{\mathbb{R}^m}^2 + \langle x, B \rangle_{\mathbb{R}^m} + C,$$

with  $C \in \mathbb{R}$  and  $B \in \mathbb{R}^m$ .

On the other hand the importance of  $k$ -quasi-Einstein manifolds comes from a problem (proposed by A. Besse) on the existence of Einstein manifolds realized as warped products. Indeed the following characterization holds.

### Theorem (Kim–Kim, '03)

Let  $M^m \times_u F^k$  be an Einstein warped product with Einstein constant  $\lambda$ , warping function  $u = e^{-\frac{f}{k}}$  and Einstein fibre  $F^k$ . Then the weighted manifold  $(M^m, g_M, e^{-f} d\text{vol})$  satisfies the  $k$ -quasi-Einstein equation. Furthermore the Einstein constant  $\mu$  of the fibre satisfies

$$(2) \quad \Delta f - |\nabla f|^2 = k\lambda - k\mu e^{\frac{2}{k}f}.$$

Conversely if the weighted manifold  $(M^m, g_M, e^{-f} d\text{vol})$  satisfies the  $k$ -quasi-Einstein equation, then  $f$  satisfies (2) for some constant  $\mu \in \mathbb{R}$ . Consider the warped product  $N^{m+k} = M^m \times_u F^k$ , with  $u = e^{-\frac{f}{k}}$  and Einstein fibre  $F$  with  ${}^F \text{Ric} = \mu g_F$ . Then  $N$  is Einstein with  ${}^N \text{Ric} = \lambda g_N$ .



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Table: Examples of  $k$ -quasi-Einstein manifolds

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Actually in [Pigola–Rigoli–R.–Setti, '10] we also introduce an extension of the concept of gradient Ricci solitons, the **Ricci almost soliton**, allowing  $\lambda$  in the soliton equation to be a generic smooth function on the weighted manifold  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$ .

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**Example.** Let  $g(t) : I \rightarrow \mathbb{R}^+$ ,  $0 \in I \subseteq \mathbb{R}$ , be the smooth function defined by

$$g(t) = g'(0)\text{sn}_{-c}(t) + g(0)\text{cn}_{-c}(t)$$

where

$$\text{sn}_k(t) = \begin{cases} \frac{1}{\sqrt{-k}} \sinh(\sqrt{-k}t) & \text{if } k < 0 \\ t & \text{if } k = 0 \\ \frac{1}{\sqrt{k}} \sin(\sqrt{k}t) & \text{if } k > 0 \end{cases} \quad \text{cn}_k(t) = \text{sn}_k(t).$$

Let  $(\Sigma, (\cdot, \cdot)_\Sigma)$  be an  $m$ -dimensional Einstein manifold satisfying

$${}^\Sigma \text{Ric} = -(m-1) \left\{ -g'(0)^2 + cg(0)^2 \right\} (\cdot, \cdot)_\Sigma.$$

Then, the warped product  $M = I \times_g \Sigma$  is Einstein with  ${}^M \text{Ric} = -mc \langle, \rangle$  and it is an almost Ricci soliton with potential  $f(t)$  and soliton function  $\lambda(t)$  defined by

$$(3) \quad \begin{cases} f(t) = a \int_0^t g(s) ds + b \\ \lambda(t) = ag'(t) - mc, \end{cases}$$

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- Rigidity result  $\Rightarrow$  basically there are no further examples of Einstein Ricci almost solitons.



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## Theorem (Pigola–Rigoli–R.–Setti, '10)

Let  $(M, \langle \cdot, \cdot \rangle, \nabla f)$  be a complete gradient Ricci almost soliton with scalar curvature  $S$  and soliton function  $\lambda$  such that  $\Delta\lambda \leq 0$  on  $M$ . Set  $S_* = \inf_M S$ ,  $\lambda_* = \inf_M \lambda$ ,  $\lambda^* = \sup_M \lambda$ .

- (i) If the almost soliton satisfies  $-\infty < \lambda_* \leq \lambda \leq 0$ ,  $\lambda \not\equiv 0$ , then  $m\lambda_* \leq S_* \leq 0$ .  
Moreover, if  $m \geq 3$  and there exists  $x_0$  such that  $S(x_0) = S_* = m\lambda_*$ , then the soliton is trivial and  $M$  is Einstein; while if  $S(x_0) = S_* = 0$  for some  $x_0 \in M$ , then  $M$  is Ricci flat and isometric to  $\mathbb{R}^m$ .
- (ii) If the almost soliton is a steady soliton then  $S_* = 0$ . Moreover, if  $m \geq 3$  and there exists  $x_0$  such that  $S(x_0) = 0$ , then  $M$  is a cylinder over a totally geodesic hypersurface.
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Finally if  $S_* = m\lambda^*$  and  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  is  $f$ -parabolic, then the almost soliton is trivial and  $(M, \langle \cdot, \cdot \rangle)$  is compact Einstein.

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Note that the case  $\mu = 0$  contains the soliton case, and thus recover a result in [Pigola–R.–Setti, '10] which extends [Petersen–Wylie, '09] where  $S$  is either constant or bounded.

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Computing the lim inf of (4) along  $\{x_n\}$  and setting  $\bar{\lambda} = \liminf \lambda(x_n)$  shows that

$$\bar{\lambda}S_* - \frac{S_*^2}{m} \geq 0 \quad \Rightarrow \quad \begin{cases} \text{if } \bar{\lambda} = 0 & S_* = 0 \\ \text{if } \bar{\lambda} < 0 & m\bar{\lambda} \leq S_* \leq 0 \\ \text{if } \bar{\lambda} > 0 & 0 \leq S_* \leq m\bar{\lambda}. \end{cases}$$

Since  $\lambda_* \leq \bar{\lambda} \leq \lambda^*$ , this gives the scalar curvature estimates in (i), (ii) and (iii).

**Proof (endpoint cases).** Consider e.g. to be in case (iii). Recall that

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**Proof (endpoint cases).** Consider e.g. to be in case (iii). Recall that

$$(5) \quad \frac{1}{2} \Delta_f S = \lambda S - |\text{Ric}|^2 + (m-1) \Delta \lambda \leq \lambda S - \frac{1}{m} S^2.$$

**Suppose that  $S(x_0) = S_* = 0$  for some  $x_0 \in M$ .**

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- Soliton equation  $\Rightarrow \text{Hess}(f) = 0 \Rightarrow f$  constant.

□

In the same spirit, we can generalize the scalar curvature estimates obtained in [Case–Shu–Wei,'10] to  $k$ -quasi-Einstein manifolds with non-constant scalar curvature. Again, possible rigidity at the endpoints is discussed.

### Theorem (R., '10)

Let  $(M^m, g_M, e^{-f} d\text{vol})$  be a geodesically complete  $k$ -quasi-Einstein manifold,  $1 < k < +\infty$ , with scalar curvature  $S$  and let  $S_* = \inf_M S$ .

(a) If  $\lambda > 0$ , then  $M$  is compact and

$$(6) \quad \frac{m(m-1)}{m+k-1} \lambda < S_* \leq m\lambda.$$

Moreover  $S_* \neq m\lambda$  unless  $M$  is Einstein.

(b) If  $\lambda = 0$  and  $\inf_M f = f_* > -\infty$  then  $S_* = 0$ . Moreover, either  $S > 0$  or  $S(x) \equiv 0$ . In this latter case, either  $f$  is constant (and  $M$  is trivial) or  $M$  is isometric to the Riemannian product  $\mathbb{R} \times \Sigma$  where  $\Sigma$  is a Ricci-flat, totally geodesic hypersurface.

(c) If  $\lambda < 0$  and  $\inf_M f = f_* > -\infty$ , then

$$(7) \quad m\lambda \leq S_* \leq \frac{m(m-1)}{m+k-1} \lambda$$

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## Rigidity as triviality of an additional structure

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The following theorem extends this result to the complete non-compact case.

### Theorem (Pigola–R.–Setti, '10)

*A complete, expanding, gradient Ricci soliton  $(M, \langle \cdot, \cdot \rangle, \nabla f)$  is trivial provided  $|\nabla f| \in L^p(M, e^{-f} d\text{vol})$ , for some  $1 \leq p \leq +\infty$ .*

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**Proof.(Sketch)** A computation shows that on a gradient Ricci soliton holds that

$$(8) \quad \frac{1}{2} \Delta_f |\nabla f|^2 = |\text{Hess}(f)|^2 - \lambda |\nabla f|^2 \geq -\lambda |\nabla f|^2,$$

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- 3  $p = 1$ : Estimates for the gradient of the potential in [Zhang, '09] +  $L^1$ -Liouville applied to (9)  $\Rightarrow f \equiv \text{const}$ .

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*Let  $(M^m, g_M, e^{-f} d\text{vol})$  be a complete non-compact  $k$ -quasi-Einstein manifold,  $1 \leq k < +\infty$ . If the  $q$ -E. constant  $\lambda \leq 0$ ,  $f \in L^p(M, e^{-\frac{f}{k}} d\text{vol})$  for some  $1 < p < +\infty$  and  $\inf_M f = f_* > -\infty$ , then either  $f \equiv \text{const} \leq 0$  and  $M$  is Einstein or  $f > 0$ .*

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From the proof it follows that if either  $M$  is compact or  $f$  attains its absolute minimum then  $f \equiv \text{const}$ . Actually one can prove that the same conclusion holds if we merely assume that  $f$  attains a local minimum at some point.

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The result reflects on Einstein warped products in this way.

### Corollary (R., '10)

*Let  $N^{m+k} = M^m \times_u F^k$ ,  $k > 1$ , be a complete Einstein warped product with  ${}^N S \leq 0$ , warping function  $u(x) = e^{-\frac{f(x)}{k}}$  satisfying  $\inf_M f = f_* > -\infty$  and complete Einstein fibre  $F$ . Then  $N$  is simply a Riemannian product if either  $f$  has a local minimum or the base manifold  $M$  is complete and non-compact, the warping function satisfies  $\int_M |f|^p e^{-\frac{f}{k}} d\text{vol} < +\infty$ , for some  $1 < p < +\infty$ , and  $f(x_0) \leq 0$  for some point  $x_0 \in M$ .*

In case  $M$  is compact, we recover a recent theorem obtained in [Kim-Kim, '03].





# Topological rigidity

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Up to consider the virtual (co)dimension, Myers-type compactness conclusions can be proven also for weighted manifolds with a lower bound on  $\text{Ric}_f^k$ .

$$\text{Ric}_f^k \geq c^2 > 0 \quad \stackrel{[\text{Qian}, '96]}{\Rightarrow} \quad M \text{ compact.}$$

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Following the results we obtained in the classical case in [Mastrolia–R.–Veronelli, '10] (which grow around an idea of E. Calabi), we can allow also some negativity for  $\text{Ric}_f^k$ .

Indeed, starting from an estimate of the integral of  $\text{Ric}_f^k$  along minimizing geodesics, an integration by parts shows that the compactness of  $M^m$  depends on the behavior, and on the position of the zeros, of the solutions of the differential equation along minimizing geodesics

$$-h'' - \frac{\text{Ric}_f^k(\dot{\gamma}, \dot{\gamma})}{m+k-1} h(t) = 0.$$

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## Theorem (R., '10)

Let  $\text{Ric}_f^k \geq -(m+k-1)B^2$ , for some constant  $B \geq 0$ ,  $k < +\infty$ . Suppose there is a point  $q \in M$  such that along each geodesic  $\gamma : [0, +\infty) \rightarrow M$  parameterized by arc-length, with  $\gamma(0) = q$ , it holds either

$$\int_a^b t \frac{\text{Ric}_f^k(\dot{\gamma}, \dot{\gamma})}{m+k-1} dt > B \left\{ b + a \frac{e^{2Ba} + 1}{e^{2Ba} - 1} \right\} + \frac{1}{4} \log \left( \frac{b}{a} \right).$$

or

$$\int_a^b t^\alpha \frac{\text{Ric}_f^k(\dot{\gamma}, \dot{\gamma})}{m+k-1} dt > B \left\{ b^\alpha + a^\alpha \frac{e^{2Ba} + 1}{e^{2Ba} - 1} \right\} + \frac{\alpha^2}{4(1-\alpha)} \{a^{\alpha-1} - b^{\alpha-1}\}$$

for some  $0 < a < b$  and  $\alpha \neq 1$ . Then  $M$  is compact.

If we are not imposing further conditions on the growth of  $f$  or on its gradient, the full conclusion of the classical Myers' theorem cannot be extended to  $Ric_f$ .

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If  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  is compact and  $\text{Ric}_f \geq \lambda > 0$  then by [Fernández-López-García-Río, '08] we have that  $|\pi_1(M)| < +\infty$ .

The generalization to the complete non-compact case under the same assumptions is obtained in [Wylie, '08].

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The next theorem extends in the direction of the classical Ambrose theorem these results.

### Theorem (Pigola-Rigoli-R.-Setti, '10)

Let  $(M, \langle \cdot, \cdot \rangle, e^{-f} d\text{vol})$  be a complete weighted manifold,  $o \in M$  a reference origin. If  $\text{Ric}_f \geq 0$  and

$$(10) \quad \int_0^{+\infty} \text{Ric}_f(\dot{\gamma}, \dot{\gamma}) = +\infty,$$

for every unit-speed geodesic  $\gamma : [0, +\infty) \rightarrow M$ ,  $\gamma(0) = o$ , then  $|\pi_1(M)| < +\infty$ .

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for every unit-speed geodesic  $\gamma : [0, +\infty) \rightarrow M$ ,  $\gamma(0) = o$ , then  $|\pi_1(M)| < +\infty$ .

Nevertheless hypothesis (10) brings to mind an Ambrose-type condition, it is not completely analogous to this latter since we are also assuming  $\text{Ric}_f \geq 0$ .

**Open problem:** can we remove this assumption?

## 1 Domain rigidity

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- P. Mastrolia and M. Rimoldi. *Some triviality results for quasi-Einstein manifolds and Einstein warped products*. Submitted. 1–15, arXiv:1011.0903v3.

## 4 Myers' type theorems

- P. Mastrolia, M. Rimoldi and G. Veronelli. *Myers' type theorems and some related oscillation results* To appear on *J. Geom. Anal.*, 1–17, arXiv:1004.3866v4.

Thank you!